

The Securities Guys

Jeff Tharp and Brian Kolins

The Series 63

1 hour 15 minutes

60+5 questions

72% Passing Score

Each exam includes sample questions that do not count for final score

Topics on the Test

1. State Securities Acts and Related Rules and Regulations - 36 questions
2. Ethical Practices and Fiduciary Obligations - 26 questions

Description of the Test

The Series 63 exam administered by the Financial Industry Regulatory Authority or FINRA, on behalf of The North American Securities Administrators Association Completion of the Series 63 exam will allow a securities agent who has passed the series 6, 7 or 62 exams to transaction business with the residents in all states that require the series 63 exam

How The Securities Guys can help:

- We offer 1-day quick cram classes as part of Jeff's 2-day cram courses as a webinar.
- We offer 2-class, 2-day study course as a continuation of the Series 6 6-class webinar study course.
- We are working on and will have Series 63 concept cards soon.

Contact us with any questions

Brian@TheSecuritiesGuys.guru

Jeff@TheSecuritiesGuys.guru

www.TheSecuritiesGuys.guru