

The Securities Guys

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The Series 6

2 hours 15 minutes

100+5 questions

70% Passing Score

Each exam includes sample questions that do not count for final score

Topics on the Test

1. Regulatory fundamentals and business development - 22 Questions
2. Evaluates customer financial information, identifies investment objectives, provides information on investment products, and makes suitable recommendations - 47 Questions
3. Open, maintain, transfer and close accounts and retains appropriate records - 21 Questions
4. Obtains, verifies, and confirms customer purchase and sale instructions - 10 Questions

Description of the Test

This exam is administered by the Financial Industry Regulatory Authority (FINRA) Upon successful completion of the Series 6, an individual will have the qualifications needed to sell open end mutual funds and variable annuities. In order to conduct business in annuity contracts the series 6 agent will also be required to pass a state life insurance exam

How The Securities Guys can help:

- We offer Series 6 weekend, 2-day, in person cram courses.
- We offer Series 6 2-day webinar cram courses.
- We offer Series 6 6-class, 6-day webinar study courses.
- We have Series 6 concept cards for sale to help independent studying.

Contact us with any questions

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